Brian J. Leite

Scholar-Practitioner and former Senior Finance & Investment Executive with over twenty-five years of experiences in Investment Analysis, Portfolio Management, Product, Business Development and Team Management.

Education

DBA DePaul University, Business Administration (Finance) May 2021
Dissertation: "Does ESG matter?
ESG scores and the stock price response to new information"
Committee: Vahap Uysal, PhD, Betsy Laydon, PhD, Sanjay Deshmukh, PhD

MBA Providence College, Business Administration (Finance) May 2002

BA Rhode Island College, History (Early Modern Europe) May 1995
Minored in English

Teaching Experience

Salve Regina University, Newport, RI January 2022 to Present
Assistant Professor, Finance, Department of Business & Economics

- *Courses Taught:*
  Introduction to Financial Management
  Advanced Financial Management and Modeling
  Investment Planning
  Portfolio Management
  Personal Financial Planning
  Investment Analysis & Portfolio Management (Graduate)

- *Courses Created:*
  MGT430 Portfolio Management - Advanced Undergraduate applied investment management course integrating CFA material and ethical considerations.

  MGT568 Investment Analysis & Portfolio Management – Graduate level investment management course for MBA program (Finance concentration).

Northwestern University, Evanston, IL August 2005 to December 2005
Adjunct Professor, School of Continuing Studies

- Part-time instructor, Investments & Financial Literacy

PUBLICATIONS

Journal Publications

Witham, Adam and Leite, Brian. (2023) "Business is Hopping: The Effects of Deregulation on Southern Craft Beer." *Journal of Regional Analysis & Policy*, 53 (1), 1-15.

Journal Papers Accepted

Uysal, Vahap B. and Leite, Brian. "Does ESG Matter to Investors? ESG Scores and the Stock Price Response to New Information."
Submitted to: *Global Finance Journal*.

Journal Papers in Review

Leite, Brian, Townsend, David, and Uysal, Vahap, B. "Investors, Sentiment, and New Information: How Sentiment Influences the Stock Price Response to Credit Rating Changes."
Submitted to: *Behavioral Finance Journal*.

Research in Development

Leite, Brian, Witham, Adam, and Starzecki, Teresa. "Thrash to the Onion Patch: Boat-length and the Newport to Bermuda Race Results."

Starzecki, Teresa, Witham, Adam, and Leite, Brian. "Bucks or Berks: Assessing Favorableness on the River at the Henley Royal Regatta."

Witham, Adam, Leite, Brian, and Starzecki, Teresa. "The Most and Least-Discussed Sports: An Accounting of the Literature."

Selected Industry Publications and Media

Maloor, Srinivas, Sumsion, Brett, and Leite, Brian. (2017) "Using Regime-Based Analysis to Build a Resilient Glide Path." *Fidelity Leadership Series*. (Industry Whitepaper)

Cohen, T., Nielson, D., Leite, B., and Browder, A., (2014) "Active Share: A Misunderstood Measure in Manager Selection." *Fidelity Leadership Series*. (Industry Whitepaper)

Cohen, T., Desantis, J., Nielson, D., Leite, B., (2014) "Active Investing: The Cyclicality of Performance in the U.S. Large-Cap Equity Market."
Fidelity Leadership Series. (Industry Whitepaper)

Leite, B., Taylor, K., and Shaw, A. (2016) "Effective Plan Design and Glide Path Choices Can Help Drive Better Outcomes." *ALM Benefits Pro Magazine*. https://www.benefitspro.com/2016/09/27/effective-plan-design-and-glide-path-choices-can-h/?slreturn=20230119175600

"Expert Thoughts on Total Visa Credit Card", *WalletHub* (2023) https://wallethub.com/edu/cc/total-visa-credit-card-review/25995#expert=Brian_Leite

"The Future of Target-Date Funds", *401k TV* (2016) https://401ktv.com/?s=brian+leite

"DC Plan Sponsors Hyper-Focused on Fees", *Employee Benefit News* (2015) https://www.benefitnews.com/news/dc-plan-sponsors-hyper-focused-on-fees

PRESENTATIONS AND INVITED LECTURES

Paper Presentation, "Evaluating the Impact of Boat Length on Performance in the Newport Bermuda Race.", *The Association of Private Enterprise Education* (April 18, 2023).

Paper Presentation, "Does ESG Matter to Investors? ESG Scores and the Stock Price Response to New Information", *Society of North American Scholars Conference* (October 8, 2022).

Paper Presentation, "Does ESG Matter?", *DePaul University Financial Research Seminar Series* (November 16, 2021).

Discussant, "Do Consumers Care About Corporate Social Responsibility? Evidence from Retail Markets" by Jiaying Wei and Steven Chong. *Global Finance Journal Conference on CSR, the Economy and Financial Markets* (November 9, 2022).

Invited Lecture, "Careers in Finance and Investment Management", *DePaul University / Driehaus Capital Management Summer Investment Challenge* (June 21, 2021)

Invited Lecturer and Judge, "Student Stock Pitch", DePaul University (May 7, 2020)

PROFESSIONAL EXPERIENCE

Deputy Chief Investment Officer - Fidelity Institutional Wealth Advisor; Boston, MA; April 2020-December 2021

- *Created and led the Investment Office for new Fidelity registered investment advisor supporting institutional/intermediary clients.*

Head of Consultant Relations - Fidelity Institutional Asset Management; Smithfield, RI; August 2017-April 2020

- *Responsible for the strategy, oversight, and execution of Fidelity's investment & DC Consultant Relations effort across North America, and co-leader of the Institutional distribution business.*

Head of Institutional Portfolio Management - Fidelity Management & Research; Boston, MA; April 2012-August 2017

- *Led and set strategic direction for thirteen Institutional Portfolio Managers representing Fidelity's Equity, High-Income, and Asset Allocation investment capabilities.*

Vice President & Strategic Segment Head of Investment Consulting – Fidelity Workplace Solutions; Chicago, IL & Boston, MA; October 2000-April 2012

- *Managed the team of senior Investment Consultants focused upon Fidelity's largest Defined Contribution and Multi-Practice clients, representing approximately $500 Billion in administered assets.*

Senior Investment Research Analyst - New England Securities Corporation / MetLife; Boston, MA; January 2000-October 2000

- *Served as a member of the firm's Investment Committee performing portfolio analysis & management, quantitative research, and developing investment recommendations & firm asset allocation strategies.*

Senior Investment Performance Analyst - Russell/Mellon Analytical Services - The Boston Company; Everett, MA; February 1998-January 2000

- *Supported institutional and private client portfolios in the areas of investment performance, attribution analysis, portfolio analytics, and benchmarking/manager universes.*

Retirement Plan Specialist - Putnam Investments; Franklin, MA; February 1997-February 1998

- *Acted as a technical resource for broker-dealers, third-party administrators, shareholders, and internal departments concerning retirement plans, investment products, and ERISA, DOL, SEC, and IRS guidelines.*

Senior Loan Officer and Underwriter - Home Loan and Investment Bank, F.S.B; Warwick, RI; August 1995-February 1997

- *Originated and closed residential home-equity loans, reviewed property appraisals & titles, and performed borrower credit, debt ratio, and loan-to-value underwriting analysis while supervising a 35-person telemarketing department.*

PROFESSIONAL TRAINING / DESIGNATIONS

Chartered Financial Analyst **(CFA)** (2001)
Certified Financial Planner **(CFP)** (2022)
Certified Employee Benefit Specialist **(CEBS)** (2004)
Retirement Plan Analyst **(RPA)** (2004)
Group Benefits Analyst **(GBA)** (2004)
CFA/HBS Investment Workshop; (2013); Harvard Business School, Boston, MA
CFA Asset Allocation Seminar; (2006); Los Angeles, CA
Certified Investment Management Analyst **(CIMA)** (2004)
IMCA Alternative Investments Program (2007)
FINRA **Series 7, 24 & 66** Licensed

PROFESSIONAL AFFILIATIONS

CFA Institute
CFA Society of Providence
CFA Society of Boston
Financial Management Association
Association of Private Enterprise Education

SERVICE TO UNIVERSITY AND DEPARTMENT

Graduate Program Director, MBA (Appointed) (January 2023-Present)

Faculty Salary and Benefits Committee (Elected) (Fall 2022-Present)

University Liaison to CFA Institute (Appointed) (Summer 2022-Present)

Salve Regina 403(b) Retirement Plan Fiduciary Committee (Appointed) (May 2023-Present)

University Affiliation Program, **CFA Institute** (Fall 2022)
Led the effort to Affiliate the Finance programs at Salve Regina with the CFA Institute through the introduction of CFA material (including ethics) into the Curriculum. Affiliation benefits include student scholarships/sponsorship for Level I of the CFA Exam.

Finance Curriculum Sub-Committee (Spring 2022)
Co-Head of Committee responsible for improving the rigor and relevance of the Salve Regina Finance Major and introducing a Finance Minor.

Business Administration Sub-Committee (Fall 2022-Spring 2023)
Member of Committee responsible for enhancing the Salve Regina Business Administration Curriculum.

Marketing Tenure-Track Faculty Search Committee (Fall 2022)

Salve Regina Academic Open House Volunteer (Fall 2021 and Fall 2022)

First-Year Student Academic Advisor (Summer 2022)

SERVICE TO PROFESSION & COMMUNITY

Pension Board, Town of Narragansett, RI (January 2023-Present)

Peer-Reviewer: *Journal of Wealth Management* (Fall 2022-Present)

Advisory Board, DePaul Doctor of Business Administration Program (2021-Present)

Advisory Council, Providence College School of Business (2017)

Grader: CFA Exam Level III (2011)

Grader: CFA Exam Level II (2010)

Education Committee, CFA Society of Chicago (2005-2006)

Financial Advisory Board, City of Naperville, IL (2003-2007).

Senior Military Intelligence Analyst; RI Army National Guard (1990-1999).

OTHER SKILLS

Bloomberg, Factset, MPI Stylus, Morningstar Direct, eVestment, Stata, SPSS

REFERENCES

Melissa Varao, PhD
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Salve Regina University
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Driehaus College of Business
DePaul University
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